

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	4/1/2019	10/15/2018	

Proposed Change

CHAPTER 7 VIOLATIONS AND PENALTIES
SUBCHAPTER 7-A INDIVIDUALS
GR703 Penalties

1. If found guilty, the accused will be subject to such penalty as the Hearing Committee, or other individuals with authority to assess penalties may determine, including but not limited to the following. The penalties set forth below will be published on the Federation's web site.

a. CENSURE. A vote of Censure will be listed under the defendant's name in the Secretary's Record of Penalties. If found guilty of a further violation the defendant will be subject to a heavier penalty than for a first offense.

b. SUSPENSION of such person for any period from showing or having others show, exhibit or train for him or her.

1. A suspended person is forbidden for the time specified in the decision from the privilege of taking any part whatsoever in any Competition licensed or endorsed by the Federation and is excluded from all competition grounds during Competitions licensed or endorsed by the Federation, as an exhibitor, participant or spectator.

2. In addition, a suspended person is forbidden from participating in all Federation affairs and activities, to hold or exercise office in the Federation or in any Competition licensed or endorsed by the Federation, to attend, observe or participate in any event, forum, meeting, program, clinic, task force, or committee of the Federation, sponsored by or conducted by the Federation, or held in connection with the Federation and any of its activities..

a. Notwithstanding the above, a Director may be removed from the Board of Directors only in accordance with the applicable provisions of the Bylaws.

b. If the Hearing Committee deems it appropriate, it may send its findings concerning a Director to the Board for its consideration.

3. Where practical and appropriate in the opinion of the Hearing Committee, suspension may include the comparable dates during which the violation occurred.

c. SUSPENSION for any period of the horse or horses, owned by him or her, or shown in any name or for his, her, or their credit or reputation, whether such interest was held at the time of the alleged violation or acquired thereafter. The Board of Directors or the Hearing Committee may at a later date remove the suspension of said horse or horses if it is demonstrated to their satisfaction that a sale or transfer thereof was made by such person, partnership, or corporation in such as to be a bona fide transaction and not with the intention of relieving the suspended owner of penalty. See GR139.

d. SUSPENSION for any period of any volunteer or any employed person who rides or exhibits for the benefit, credit, reputation or satisfaction of another suspended person.

e. EXPULSION from all Licensed Competitions.

f. EXPULSION or SUSPENSION from membership in the Federation.

g. PERMANENT INELIGIBILITY from Federation membership and all Federation related activities, including a prohibition from taking any part whatsoever in any Competition licensed or endorsed by the Federation and exclusion from all competition grounds during Competitions licensed or endorsed by the Federation in any capacity, including as an exhibitor, participant or spectator. Additionally, a permanently ineligible person is prohibited from attending, observing, or participating in any event, forum, meeting, program, clinic, task force, or committee of the Federation, sponsored by or conducted by the Federation, or held in connection with the Federation and any of its activities.

Renumber Accordingly.

GR704 Regulations as to Suspended Persons
This rule applies to all persons deemed ineligible to participate.

1. The purpose of this Rule shall be to prevent the avoidance by suspended exhibitors, trainers, coaches and other persons of the terms and conditions of their suspensions, or the penalties intended by the Hearing Committee as appurtenant to such suspensions. This Rule shall apply to the spouse of a suspended person as well as to any other persons or entities, including, without limitation, companions, family members, employers, employees, agents, partnerships, partners, corporations or other entities, whose relationship, whether financial or otherwise, with a suspended person would give the appearance that such other persons are riding, exhibiting, coaching or training for the benefit, credit, reputation or satisfaction of the suspended person.

2. No suspended person's spouse or companion shall assume any of the suspended person's responsibilities whatsoever at Federation competitions during the term of said suspension. Companion shall be defined as any person who co-habits with, or otherwise shares living accommodations with, a suspended person.

3. No suspended person's spouse or companion may fill out any entry blanks for any of the suspended person's customers for Federation competitions during the term of the suspended person's suspension, **except when the suspended person's spouse or companion is the parent or legal guardian of a minor entered to compete at a Competition licensed or endorsed by the Federation**, or pay or advance entry fees on behalf of customers for Federation competitions during said period.

4. Any person who assumes the responsibility for the care, custody or control of an unsuspended horse completely or in part owned, leased, trained by or coached by a suspended person, must not:

a. Be paid a salary directly or indirectly by or on behalf of the suspended person; or

b. Receive a bonus or any other form of compensation in cash, property or other remuneration or consideration such as to make up for any such lost salary; or

c. Make any payments of any kind, or give any remuneration or other compensation or consideration, to the suspended person, his/her spouse or companion, any corporation, partnership or other entity owned or controlled by said suspended person or to any other person for transfer to any of said individuals or entities for the right to ride, exhibit, coach or train for the suspended person or any of the suspended person's customers during Federation Licensed Competitions; or

d. Use the farm or individual name of the suspended person.

5. An individual who takes over the horses of a suspended trainer or coach must:

a. Bill customers directly on his/her own bill forms for any services rendered at or in connection with any Federation Licensed Competitions;

b. Maintain a personal checking account totally separate from and independent of that of the suspended person for purposes of paying all expenses of and depositing all income from customers;

c. Pay all his/her employees working at Federation competitions, none of whom may be employees, directly or indirectly, of the suspended person;

d. Keep checks, books, employee records and make withholding of taxes and other regular deductions from his/her employees' paychecks;

e. Pay all feed bills, motel, van bills, travel expenses, etc. from his/her separate and independent checking account and preserve, for six months after the date that said suspension is terminated, invoices for said bills;

f. If such individual makes use of any equipment of a suspended trainer, the use of said equipment must be enumerated in detail in a written lease, the form and substance of which must be satisfactory to counsel for the Federation and shall be at the fair rental value for said equipment and said price must be included in said agreement;

g. File such federal and state tax returns as will reflect as his or her income the income from said training or coaching responsibilities at Federation Licensed Competitions;

h. Not borrow funds from a suspended trainer or coach, his/her spouse or companion, their families, corporations, partnerships or any other entities owned or controlled by said suspended trainer or to any other person for the purpose of going into business for himself or herself at Federation Licensed Competitions during the period of said suspension, nor will he/she allow any of the above-named parties or entities to sign or guarantee any notes or any type of loans to enable him or her to go into business as described above.

6. Suspended trainers and coaches, and individuals taking over the horses or customers of a suspended trainer or coach may be requested to make their books, canceled checks, invoices, tax returns and other evidence available to Federation representatives to verify and affirm the details of any relationship between them and suspended trainer or coach.

7. This Rule is intended to provide guidance for suspended persons and anyone contemplating taking over the responsibility for the riding, exhibiting, coaching, or training, of an unsuspended horse from a suspended person. It is not intended to anticipate every potential circumstance in which the intent of a suspension may be frustrated, and the Hearing Committee shall have the power to determine whether the facts and circumstances peculiar to any particular case compel a finding that there was or is a violation of the rules prohibiting exhibiting any horse while in the care, training or custody of a suspended trainer, or riding, exhibiting, coaching or training for the benefit, credit, reputation or satisfaction of a suspended person (see GR702.1h and .i).

8. Federation Participants, as defined in GR1303, are prohibited from being coached or trained by any individual deemed ineligible by the U.S. Center for Safe Sport within thirty (30) days prior to or thirty (30) days after Federation Licensed or endorsed competitions. Exception: If this ineligibility is imposed within the thirty (30) days prior to or during a Federation Licensed or endorsed competition, this rule shall not apply to said competition.

Proponent Details

Hearing Committee

Contact Information

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Rule Change Intent

The proposal is intended to clarify the prohibition contained in GR704.3, which prohibits the spouse or companion of suspended persons from signing entry blanks of customers of the suspended person. This rule is not intended to cover instances where the spouse or companion of the suspended person is the parent or legal guardian of a minor child.

A new section has been added to GR704 to cover individuals permanently banned the U.S. Center for Safe Sport. This individuals would be prohibited from coaching or training participants at least 30 days prior to and after a Licensed Competition. In the event a permanent ban is imposed in the 30 days leading

up to a competition, the participants may seek a waiver from the Federation. This rule is intended to protect victims who have come forward from the perception that the banned individuals is participating in the sport in every aspect other than simply attending competitions.

Linked Rules	Comments
Web: permanent ban	
Committee Actions	
ADS	
Draft 1: No Action Draft 2: No Action Draft 3: No Action	Draft 1: No Comments Draft 2: No Comments Draft 3: No Comments
AHA	
Draft 1: No Action Draft 2: No Action Draft 3: No Action	Draft 1: No Comments Draft 2: No Comments Draft 3: No Comments
AHHS	
Draft 1: No Action Draft 2: No Action Draft 3: No Action	Draft 1: No Comments Draft 2: No Comments Draft 3: No Comments
American Saddlebred	
Draft 1: No Action Draft 2: No Action Draft 3: No Action	Draft 1: No Comments Draft 2: No Comments Draft 3: No Comments
AMHA	
Draft 1: No Action Draft 2: No Action Draft 3: No Action	Draft 1: No Comments Draft 2: No Comments Draft 3: No Comments
Andalusian/Lusitano	
Draft 1: No Action Draft 2: No Action Draft 3: No Action	Draft 1: No Comments Draft 2: No Comments Draft 3: No Comments
Arabian	
Draft 1: No Action Draft 2: No Action Draft 3: No Action	Draft 1: No Comments Draft 2: No Comments Draft 3: No Comments
ARHPA	
Draft 1: No Action Draft 2: No Action Draft 3: No Action	Draft 1: No Comments Draft 2: No Comments Draft 3: No Comments
ASHA	
Draft 1: No Action Draft 2: No Action Draft 3: No Action	Draft 1: No Comments Draft 2: No Comments Draft 3: No Comments
Breeds/Disciplines	
Draft 1: No Action Draft 2: No Action Draft 3: No Action	Draft 1: No Comments Draft 2: No Comments Draft 3: No Comments
Carriage Pleasure Driving	
Draft 1: No Action Draft 2: No Action Draft 3: No Action	Draft 1: No Comments Draft 2: No Comments Draft 3: No Comments
Connemara	
Draft 1: No Action Draft 2: No Action Draft 3: No Action	Draft 1: No Comments Draft 2: No Comments Draft 3: No Comments
Council - Intl Discipline	
Draft 1: No Action Draft 2: No Action Draft 3: No Action	Draft 1: No Comments Draft 2: No Comments Draft 3: No Comments
Council - Natl Breed & Discipline	
Draft 1: No Action Draft 2: No Action Draft 3: No Action	Draft 1: No Comments Draft 2: No Comments Draft 3: No Comments

Dressage

Draft 1: No Action
Draft 2: No Action
Draft 3: No Action

Draft 1: No Comments
Draft 2: No Comments
Draft 3: No Comments

Driving

Draft 1: No Action
Draft 2: No Action
Draft 3: No Action

Draft 1: No Comments
Draft 2: No Comments
Draft 3: No Comments

Endurance

Draft 1: No Action
Draft 2: No Action
Draft 3: No Action

Draft 1: No Comments
Draft 2: No Comments
Draft 3: No Comments

English Pleasure

Draft 1: No Action
Draft 2: No Action
Draft 3: No Action

Draft 1: No Comments
Draft 2: No Comments
Draft 3: No Comments

Eventing

Draft 1: No Action
Draft 2: No Action
Draft 3: No Action

Draft 1: No Comments
Draft 2: No Comments
Draft 3: No Comments

Friesian

Draft 1: No Action
Draft 2: No Action
Draft 3: No Action

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Hackney

Draft 1: No Action
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IFSHA

Draft 1: No Action
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Draft 3: No Action

Draft 1: No Comments
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Draft 3: No Comments

Jumper

Draft 1: No Action
Draft 2: No Action
Draft 3: No Action

Draft 1: No Comments
Draft 2: No Comments
Draft 3: No Comments

Morgan

Draft 1: No Action
Draft 2: No Action
Draft 3: No Action

Draft 1: No Comments
Draft 2: No Comments
Draft 3: No Comments

National Hunter Committee

Draft 1: No Action
Draft 2: No Action
Draft 3: No Action

Draft 1: No Comments
Draft 2: No Comments
Draft 3: No Comments

National Show Horse

Draft 1: No Action
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Draft 1: No Comments
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Draft 3: No Comments

NSH

Draft 1: No Action
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Draft 1: No Comments
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Paso Fino

Draft 1: No Action
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Draft 1: No Comments
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PFHA

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Draft 1: No Comments
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Reining

Draft 1: No Action
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Draft 1: No Comments
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Roadster

Draft 1: No Action
Draft 2: No Action
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Draft 1: No Comments
Draft 2: No Comments
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Saddle Seat Eq

Draft 1: No Action
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Draft 1: No Comments
Draft 2: No Comments
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Shetland

Draft 1: No Action
Draft 2: No Action
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Draft 1: No Comments
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UPHA

Draft 1: No Action
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US Saddle Seat World Cup

Draft 1: No Action
Draft 2: No Action
Draft 3: No Action

Draft 1: No Comments
Draft 2: No Comments
Draft 3: No Comments

USA Reining

Draft 1: No Action
Draft 2: No Action
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Draft 1: No Comments
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USDF

Draft 1: No Action
Draft 2: No Action
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USEA

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USHJA

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Vaulting

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WDAA

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Draft 3: No Comments

Welsh

Draft 1: No Action
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Western Committee

Draft 1: No Action
Draft 2: No Action
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Draft 1: No Comments
Draft 2: No Comments
Draft 3: No Comments

Western Dressage

Draft 1: No Action
Draft 2: No Action
Draft 3: No Action

Draft 1: No Comments
Draft 2: No Comments
Draft 3: No Comments