

## RULE CHANGE TYPE

Extraordinary

## EFFECTIVE

2/1/2017

## BOARD ACTION

## EO REASON

Improved Safety - Enhancement to rules regarding Loss of Qualification

## PROPOSED CHANGE

**EV105 Loss of Qualification/Participation in Horse Trials** [CHAPTER Chapter 1 & Appendix 3]

~~For certain levels of competition, horses and riders must meet qualifying requirements to compete at the levels of competitions specified in Appendix 3. Loss of these requirements (qualifications) is outlined below and pertains to any combination of Federation and FEI Events.~~

~~LOSS OF QUALIFICATION. (Preliminary /CI Level and up)~~

~~Loss of Qualification will apply only where penalties have been assessed during the Cross-Country phase of competition apply.~~

~~1. A horse that either:~~

~~a. is eliminated three times for Refusals, Dangerous Riding, Horse Falls or Rider Falls within any 12 month period;~~

~~or~~

~~b. experiences two consecutive Cross-Country eliminations for Refusals, Dangerous Riding, Horse Falls or Rider~~

~~Falls;~~

~~loses its qualification to compete at the highest level at which the elimination occurs.~~

~~2. Loss of Qualification remains until the horse achieves 2 MERs or 2 NQRs at one level below the highest level that caused the loss of qualification. This can happen no sooner than one month following the loss of qualification.~~

~~3. For the Advanced, CI3\* and CI4\* levels, riders subject to the provisions of this rule may request in writing to have their Loss of Qualifications reviewed by the USEF Eventing Credentials Committee. *BOD 8/29/16 Effective 12/1/16*~~

**EV105 Loss of Qualifications**

For certain levels of competition, horses and riders must meet qualifying requirements. Those requirements are detailed in Appendix 3.

***Loss of these requirements (qualifications) is outlined below and pertains to any combination of USEF and FEI Events.***

**1. ESTABLISHMENT OF QUALIFICATION.**

When a horse and/or rider obtains a Minimum Eligibility Requirement (MER) at a level, then they are "established" (qualified to compete) at that level. This "establishment" does not expire; however, it is important to remember that in all cases, when entering an Event at the CI1\* level or above, at least one MER must be obtained in the 12month period prior to the competition.

**2. LOSS OF QUALIFICATION** (Preliminary/CI\* Level and up)

Loss of Qualification will occur when penalties have been assessed during the Cross-Country phase of competition as follows:

a. A horse that is eliminated, for disobediences, three times within any 12month period loses its qualification to compete at the highest level at which an elimination occurs.

b. A horse that falls 2 times in any 12month period loses its qualification

to compete at the highest level at which a fall occurs.

c. A rider who falls from the same horse 3 times in any 12month period will cause the horse to lose its qualification to compete at the highest level at which a fall occurs.

d. Having lost qualification, a horse may be re-qualified by achieving 2 MERs at the next lower height level within any 6 month period and no sooner than one month following the loss of qualification.

e. Any combination of three total occurrences as outlined in a., b., or c above will result in loss of qualification.

f. A rider who is penalized 2 times in any 12month period for Dangerous Riding loses his/her qualification to compete at the highest level at which a penalty occurs

g. A rider who receives two watch list reports in a 12month period loses qualification to compete at the highest level for which a report was received.

h. Having lost qualification, a rider may be re-qualified by achieving 2 MERs at the next lower height level within any 6month period and no sooner than one month following the loss of qualification.

i. Any combination of two total occurrences as outlined in f. and g. above will result in loss of qualification.

**3. At the Advanced, CI3\*and CI4\* levels, riders subject to the provisions of this rule may request in writing to have their Loss of Qualifications reviewed by the USEF Eventing Credentials Committee.**

**STRIKE CURRENT APPENDIX 3 AND REPLACE WITH TEXT BELOW – CHANGES TOO EXTENSIVE TO DO SO HERE**

#### **APPENDIX 3 - PARTICIPATION IN HORSE TRIALS**

*A competitor and/or a horse may be entered in a Horse Trial without having fulfilled the qualifications noted below, provided the qualifications have been fulfilled at least 10 days before the Cross-Country Test of the competition for which it is needed if the MER has been achieved at a Horse Trial or CIC or at least 24 days if the MER has been achieved at a CCI. At the CI\* level and above, at least one MER must be obtained in the twelve month period prior to the competition. e.g. a horse and/or rider who have achieved a MER at a CI3\*/CNC3\* level of competition and who have not competed for over twelve months must first achieve a MER at the next lowest height level.*

#### **1. SECTIONS**

**1.1 JUNIOR (J) - For the purpose of competing in National Horse Trials competitors may compete as Juniors through the end of the calendar year of their 18th birthday.**

**1.2 YOUNG RIDER (YR) - Open to competitors from the beginning of the calendar year of their 16th birthday through the end of the calendar year of their 21st birthday.**

**1.3 AMATEUR (A) The following may participate in Eventing competitions as an Amateur:**

**a. Any competitor in possession of a valid Amateur card issued by the USEF, or**

**b. Any Senior USEA member who competes in the Training, Novice or Beginner Novice Level who meets the requirements of Federation GR1306. Individuals declaring such status must present, upon demand, an audited financial statement in support of the claim of eligibility; failure to do so will be deemed a violation. Misrepresentation of eligibility under this provision will subject an individual to disciplinary action under GR1307.6, GR1307.8, GR1308.3 and Chapter 6. Amateur certification under this provision is valid for Eventing competitions only and does not confer Amateur status for participation in any other Breed or Discipline.**

**1.4 For the purposes of this rule, in differentiating eligibility for Horse and Rider sections, FEI divisions are considered to be one level higher than the equivalent National division, e.g. FEI One Star is one level higher than a Preliminary Horse Trial. A rider who has completed an event at the Advanced Level is not eligible to compete as an Intermediate rider.**

**1.5 RIDER (R) - Open to competitors who have not completed an event above the next highest level in the 5 years preceding the date of the competition, e.g. a Novice Rider may have completed an event at Training level, but not Preliminary level or higher in the 5 years preceding the date of the competition; a Training Rider may have completed an event at Preliminary level, but not Intermediate level or higher in the 5 years preceding the date of the competition.**

**1.6 HORSE (H) - Open to competitors of any age, horse may not have completed an event above the next highest level. e.g. a Novice Horse may have completed an event at Training level, but not Preliminary level or higher; a Training Horse may have completed an event at Preliminary level, but not Intermediate level or higher.**

1.7 YOUNG HORSE (YH) - Open to competitors of any age, horse may not have competed above the level and meets the following age restrictions:

a. Novice - four or five years of age.

b. Training - four or five years of age.

c. Preliminary - five or six years of age.

d. Intermediate - six or seven years of age.

e. Advanced - six or seven years of age.

1.9 OPEN (O) - Both horse and rider may have competed at any level.

1.10 CHAMPIONSHIP (CH) - open to all qualified riders on qualified horses.

1.11 OTHER - Restricted by breed or other designation as defined by Organizing Committee, approved by the Federation/USEA, and designated in the Omnibus listing.

## 2. DEFINITIONS

2.1 Completion: means having completed the entire Horse Trial with a numerical score.

### 2.2 Minimum Eligibility Requirement

2.2.1 When achieved at a National Horse Trials an MER is achieved by completing the entire Horse Trial and scoring. -not more than 50 penalty points in the Dressage Test; and - No jumping penalties at obstacles on the Cross Country Test unless specified otherwise, and not more than 90 seconds (36 penalty points) exceeding the optimum time; and - not more than 16 penalties at obstacles in the Jumping Test. -25 penalty points received for Dangerous Riding will not achieve a National Qualifying result. Exceptions to the qualifications noted below may only be approved by the Credentials/Grading Committee.

2.2.2 When achieved at an FEI Competition an MER is achieved by completing the entire Horse Trial and scoring. -not more than 67 penalty points in the Dressage Test; and - No jumping penalties at obstacles on the Cross Country Test unless specified otherwise, and not more than 75 seconds (30 penalty points) exceeding the optimum time for one, two and three star competitions and 100 seconds (40 penalty points) exceeding the optimum time for four star competitions; and - not more than 16 penalties at obstacles in the Jumping Test (see Article 517 of the FEI Eventing Rules).

2.3 Uncategorized Rider: Riders who have not been categorized through proven competence at certain levels of competition i.e. A rider, B riders, etc. by the FEI per Article 520 of the FEI Eventing Rules for the purpose of determining rider eligibility for International Horse Trials and Events). All requirements of the FEI must be achieved as a combination. Where FEI requirements refer to a "CI" this may be satisfied by achieving an MER at a CCI or CIC of the level stated. When multiple Minimum Eligibility Requirements are required, one of the Minimum Eligibility Requirements can be achieved incurring 20 penalties at the obstacles of the Cross Country Test. All USEF requirements do not need to be achieved as a combination.

2.4 Categorized Riders: Riders who have been categorized through proven competence at certain levels of competition A riders, B riders, etc. by the FEI per Article 520 of the FEI Eventing Rules for the purpose of determining rider eligibility for International Horse Trials and Events. Where FEI requirements refer to a "CI" this may be satisfied by achieving an MER at a CCI or CIC of the level stated. When multiple Minimum Eligibility Requirements are required, one of the Minimum Eligibility Requirements can be achieved incurring 20 penalties at the obstacles of the Cross Country Test. All USEF requirements do not need to be achieved as a combination.

## 3. LEVELS OF HORSE TRIALS AND EVENTS

3.1 BEGINNER NOVICE (B) - Open to competitors of any age, on horses four years of age and older.

3.2 NOVICE (N) - Open to competitors of any age, on horses four years of age or older.

3.3 TRAINING (T) - Open to competitors of any age, on horses four years of age or older.

3.4 MODIFIED (M) – Open to competitors of any age, on horses four years of age or older. The competitor must have obtained an NQR at two Horse Trials at the Training Level or higher.

3.5 PRELIMINARY (P) - Open to competitors from the beginning of the calendar year of their 14th birthday, on horses five years of age or older. The competitor must have obtained an MER at four Horse Trials at the Training Level or higher.

3.6 TRAINING THREE-DAY EVENT- Open to competitors of any age, on horses four years of age or older. Both the competitor and the horse must

have obtained ME's at Four Horse Trials at the Training Level or higher, one of which must be attained as a combination. A competitor established at the Preliminary Level may compete on a horse which has obtained 2 MER's at the Training Level or higher.

**3.7 PRELIMINARY THREE-DAY EVENT-** Open to competitors beginning the calendar year of their 14th birthday, on horses five years of age or older. Both the competitor and the horse, though not necessarily as a combination, must have obtained an MER at three Horse Trials at the Preliminary Level or higher, plus an additional MER at the Preliminary Level or higher with no more than 20 Jumping Penalties at obstacles on the Cross Country test.

**3.8 INTERMEDIATE (I) -** Open to competitors from the beginning of the calendar year of their 16th birthday, on horses six years of age or older. Both the competitor and the horse, though not necessarily as a combination, must have obtained an NQR at three Horse Trials at the Preliminary Level or higher, plus an additional MER at the Preliminary Level or higher with no more than 20 Jumping Penalties at obstacles on the Cross Country test.

**3.9 ADVANCED (A)**

**3.9.1 UNCATEGORIZED RIDERS -** Open to competitors from the beginning of the calendar year of their 18th birthday, on horses six years of age or older. Both the competitor and the horse, though not necessarily as a combination, must have obtained a minimum of one MER with no more than 20 Jumping Penalties at obstacles on the Cross Country test, at either a CI 2\* or Intermediate Level plus three MERs at the Intermediate Level or higher.

**3.9.2 CATEGORIZED RIDERS -** Open to competitors from the beginning of the calendar year of their 18th birthday, on horses six years of age or older. Both the competitor and the horse, though not necessarily as a combination, must have obtained a minimum of one MER with no more than 20 Jumping Penalties at obstacles on the Cross Country test, at either a CI 2\* or Intermediate Level plus two NQRs at the Intermediate Level or higher.

**4. LEVELS OF INTERNATIONAL HORSE TRIALS AND EVENTS**

**4.1 UNCATEGORIZED RIDERS** Listed below are the USEF requirements to compete in an FEI Competition. Additionally, all horses and riders must meet the minimum eligibility requirements (MER) published by the FEI which must be achieved by competitor and horse as a combination. Where FEI requirements refer to a "CI" this may be satisfied by achieving an MER at a CCI or CIC of the level stated. When multiple MERs are required, one of the Minimum Eligibility Requirements can be achieved incurring 20 penalties at the obstacles of the Cross Country Test. All USEF requirements do not need to be achieved as a combination.

At the CI\* level and above, at least one MER must be obtained in the twelvemonth period prior to the competition. e.g. a horse and/or rider who have achieved a MER at a CI3\*/CNC3\* level of competition and who have not competed for over twelve months must first achieve a MER at the next lowest height level.

**4.1.1 CIC1\*** Both the competitor and the horse, though not necessarily as a combination, must have achieved 1 MER at the Preliminary level or higher.

	FEI REQUIREMENTS	USEF REQUIREMENTS
CIC 1*		1 Preliminary or higher (MER)

**4.1.2 CIC2\*** Having met the minimum requirements (MER) of the FEI, both the competitor and the horse, though not necessarily as a combination, must have achieved 2 MERs at the Preliminary level or higher plus an additional MER at the Preliminary level or higher with no more than 20 Jumping Penalties at obstacles on the Cross Country test, plus 1 MER at the Intermediate level or higher.

	FEI REQUIREMENTS	USEF REQUIREMENTS
CIC 2*	1 CI 1*	2 Preliminary or higher (MER) + 1 Preliminary or higher (MER) with no more than 20 Jumping Penalties at obstacles on the Cross Country test + 1 Intermediate or higher (MER)

**4.1.3 CIC3\*** Having met the minimum eligibility requirements (MER) of the FEI, both the competitor and the horse, though not necessarily as a combination, must have achieved 2 MERs at the Intermediate level or higher.

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	FEI REQUIREMENTS	USEF REQUIREMENTS
CIC 3*	2 CI 2*	2 Intermediate or higher ( <i>MER</i> )

**4.1.4 CCI1\*** Both the competitor and the horse, though not necessarily as a combination, must have achieved 3 MERs at the Preliminary level or higher plus and additional MER at the Preliminary level or higher with no more than 20 Jumping Penalties on the Cross Country test.

	FEI REQUIREMENTS	USEF REQUIREMENTS
CCI 1*		3 Preliminary or higher ( <i>MER</i> ) + 1 Preliminary or higher ( <i>MER</i> ) with no more than 20 Jumping Penalties at obstacles on the Cross Country test.

**4.1.5 CCI2\***

**4.1.5.1 UNCATEGORIZED RIDERS**

Having met the minimum eligibility requirements (*MER*) of the FEI, both the competitor and the horse, though not necessarily as a combination, must have achieved 2 MERs at the Intermediate level or higher.

	FEI REQUIREMENTS	USEF REQUIREMENTS
CCI 2*	(1 CCI1* or 1 CIC2*) + 1 CIC2*	2 Intermediate or higher ( <i>MER</i> )

**4.1.5.2 CCI2\* "D" CATEGORIZED RIDERS**

Having met the minimum eligibility requirements (*MER*) of the FEI as a combination, both the competitor and the horse, though not necessarily as a combination, must have achieved 2 MERs at the Intermediate level or higher.

	FEI REQUIREMENTS	USEF REQUIREMENTS
CCI 2*	(1 CCI 1* or 1 CIC 2*)	2 Intermediate or higher ( <i>MER</i> )

**4.1.6 CCI3\*** Having met the minimum eligibility requirements (*MER*) of the FEI, both the competitor and the horse, though not necessarily as a combination, must have achieved one MER at the Advanced level or higher.

	FEI REQUIREMENTS	USEF REQUIREMENTS
CCI 3*	1 CCI2* + 1 CIC3*	1 Advanced HT or higher ( <i>MER</i> )

**4.1.7 CCI4\***

**4.1.7.1 UNCATEGORIZED OR "D" OR "C" RIDERS**

Both the competitor and the horse must have met the minimum eligibility requirements (MER) of the FEI.

	RIDER CATEGORY	FEI REQUIREMENTS	USEF REQUIREMENTS
CCI 4*	C-D	2 CCI3* + 2 CI 3*	The competitor and horse must have met the minimum eligibility requirements (MER) of the FEI

**4.1.7.2 CCI4\* "B" CATEGORIZED RIDERS**

Both the competitor and the horse must have met the minimum eligibility requirements (MER) of the FEI.

	RIDER CATEGORY	FEI REQUIREMENTS	USEF REQUIREMENTS
CCI 4*	B	1 CCI 3* + 3 CI 3*	The competitor and horse must have met the minimum eligibility requirements (MER) of the FEI

**4.2 CATEGORIZED RIDERS**

Listed below are the USEF requirements to compete in an FEI Competition. Additionally, all horses and riders must meet the minimum eligibility requirements (MER) published by the FEI. Where FEI requirements refer to a "CI" this may be satisfied by achieving an MER at a CCI or CIC of the level stated. When multiple Minimum Eligibility Requirements are required, one of the Minimum Eligibility Requirements can be achieved incurring 20 penalties at the obstacles of the Cross Country Test. All USEF requirements do not need to be achieved as a combination.

At the CI\* level and above, at least one Qualifying Result must be obtained in the twelve-month period prior to the competition. e.g. a horse and/or rider who have achieved a QR at a CI3\*/CNC3\* level of competition and who have not competed for over twelve months must first achieve a QR at the next lowest height level.

4.2.1 CIC1\* There are no requirements for horses ridden by categorized riders at this level.

4.2.2 CIC2\* The horse must have achieved 3 MERS at the Preliminary level or higher plus an additional MER at the Preliminary level or higher with no more than 20 Jumping Penalties at obstacles on the Cross Country test.

	RIDER CATEGORY	FEI REQUIREMENTS	USEF REQUIREMENTS
CIC 2*	A-B-C-D		3 Preliminary or higher (MER) + 1 Preliminary or higher (MER) with no more than 20 Jumping Penalties at obstacles on the Cross Country test.

4.2.3 CIC3\* All "C" & "D" categorized riders must use the eligibility requirements for uncategorized riders. The Horse must have achieved 2 MERS at the Intermediate level or higher.

	RIDER		

	RIDER CATEGORY	FEI REQUIREMENTS	USEF REQUIREMENTS
CIC 3*	A-B	1 CI 2*	2 Intermediate or higher (MER)

**4.2.4 CCI1\*** The horse must have achieved 3 MERs at the Preliminary level or higher plus an additional MER at the Preliminary level or higher with no more than 20 Jumping Penalties at obstacles on the Cross Country test.

	RIDER CATEGORY	FEI REQUIREMENTS	USEF REQUIREMENTS
CCI 1*	A-B-C-D		3 Preliminary or higher (MER) + 1 Preliminary or higher (MER) with no more than 20 Jumping Penalties at obstacles on the Cross Country test.

**4.2.5 CCI2\*** All "D" categorized riders must meet the eligibility requirements set forth in Section 4.1.5.2 above. For "A, B & C" categorized riders, the horse must have achieved 2 MERs at the Intermediate level or higher.

	RIDER CATEGORY	FEI REQUIREMENTS	USEF REQUIREMENTS
CCI 2*	A-B-C	(1 CCI 1* or 1 CIC 2*)	2 Intermediate or higher (MER)

**4.2.6 CCI3\*** All "C & D" categorized riders must use the eligibility requirements for uncategorized riders. The horse must have achieved 1 MER with no more than 20 Jumping Penalties at obstacles on the Cross Country test at a CIC3\* or higher.

	RIDER CATEGORY	FEI REQUIREMENTS	USEF REQUIREMENTS
CCI 3*	A-B	1 CCI 2*	1 CIC 3* or higher with no more than 20 Jumping Penalties at obstacles on the Cross Country test.

**4.2.7 CCI4\*** Both the competitor and the horse, as a combination must have met the minimum eligibility requirements of the FEI.

	RIDER CATEGORY	FEI REQUIREMENTS	USEF REQUIREMENTS
CCI 4*	A	1 CCI 3* (as a combination)	The competitor and horse must have met the minimum eligibility requirements (MER) of the FEI

PROPONENT

CONTACT

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# Eventing

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## INTENT

The Intent of these proposed changes is to improve safety by including additional provisions under which horses and (now) riders may lose qualification (EV105) and to ensure ease of interpretation of both rules as a result of the changes to EV105. The Current rule includes provisions under which horses may lose qualification. This change expands the rule, requiring riders to step down under certain circumstances. As a result, and because the rule has been expanded, some clarity has been added to the terminology which must be carried through to Appendix 3. There is now one easily comprehended term for a qualifying result rather than separate terms for FEI and National competitions.

## POTENTIAL IMPACT

None

## LINKED RULES/COMMENTS

**Web:** loss of qualification

## COMMITTEE ACTIONS